

Lecture 20, 29 October 2024

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Programming and Data Structures with Python

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$O(n \log n)$ sort?

~~1~~ ~~3~~ ~~5~~ ~~7~~
~~2~~ ~~4~~ ~~6~~ ~~8~~

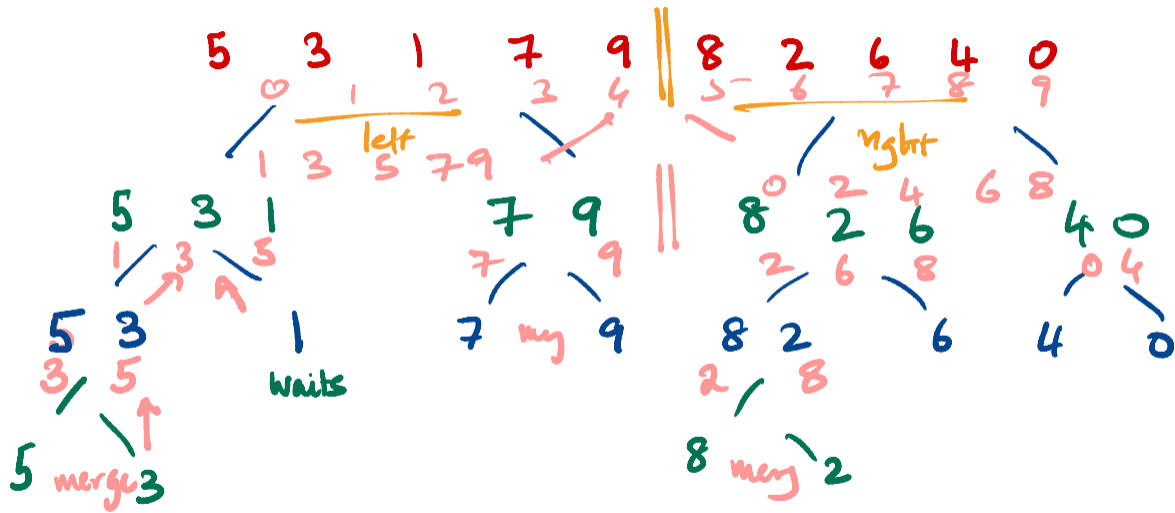


n
1 2 3 4 5 6 7 8

Each comparison
adds an element

$O(n)$

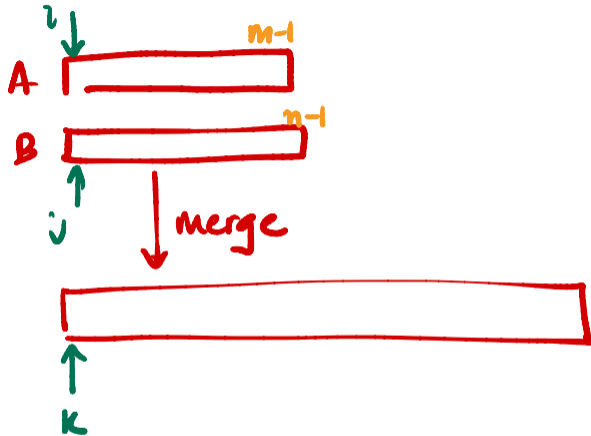
Merge sort



Merging sorted lists

```
def merge(A,B):  
    (m,n) = (len(A),len(B))  
    (C,i,j,k) = ([],0,0,0)  
    while k < m+n:  
        if i == m:  
            C.extend(B[j:])  
            k = k + (n-j)  
        elif j == n:  
            C.extend(A[i:])  
            k = k + (m-i)  
        elif A[i] < B[j]:  
            C.append(A[i])  
            (i,k) = (i+1,k+1)  
        else:  
            C.append(B[j])  
            (j,k) = (j+1,k+1)  
    return(C)
```

Boundary
Case



Merge sort

```
def merge(A,B):
    (m,n) = (len(A),len(B))
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        if i == m:
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        elif j == n:
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            k = k + (m-i)
        elif A[i] < B[j]:
            C.append(A[i])
            (i,k) = (i+1,k+1)
        else:
            C.append(B[j])
            (j,k) = (j+1,k+1)
    return(C)
```

```
def mergesort(A):
    n = len(A)
```

```
    if n <= 1:
```

```
        return(A)
```

```
    L = mergesort(A[:n//2])
```

```
    R = mergesort(A[n//2:])
```

```
    B = merge(L,R)
```

```
    return(B)
```

|| Base case

no gap!

Let $T(n)$ be time to merge-sort input of size n

$$T(n) = 2T(n/2) + n$$

└ Merge

$$T(1) = T(0) = 1$$

Merge sort analysis

$$T(n) = 2 \underline{T(n/2)} + n$$

$$= 2 \left[2 T(n/4) + n/2 \right] + n \times 1$$

$$\underline{\text{Level 3}} = 2 \left[2 \left[2 T(n/8) + n/4 \right] + n/2 \right] + n$$

$$\uparrow \\ 2^3$$

$$\uparrow \\ 2^2 \\ \times 2^2 \\ \hline n$$

$$\times 2 \\ = n$$

$$2^3 T(n/2^3) + 3n$$

After k steps

$$2^k T\left(\frac{n}{2^k}\right) + kn$$

$$k = \log_2 n$$

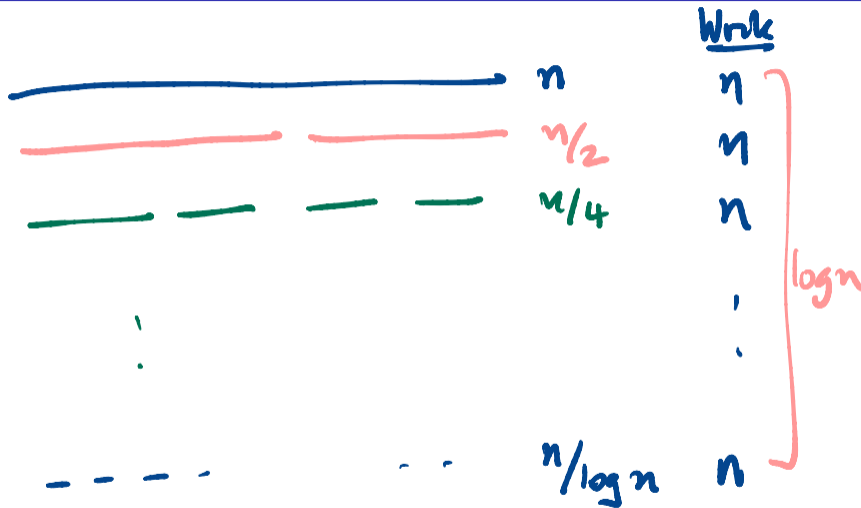
$$2^{\log n} T(1) + (\log n)n$$

$$\frac{n}{2^k} = 1$$

$$\underbrace{\quad}_{n-1} + n \cdot \log n \rightarrow O(n \log n)$$

Merge sort analysis

Pictorially

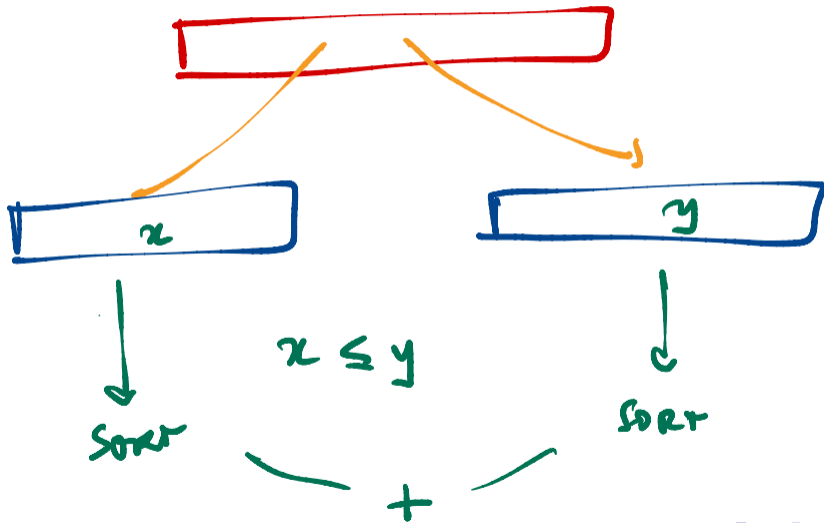


Divide & Conquer

Break up into disjoint subproblems

Combine subpart solutions

Divide and conquer without merging



C.A.R. Hoare

"Tony"

List \rightarrow split into Lower & Upper

How?

Pick some value in the list - PIVOT l $0 \dots n-1$ pivot = $l[0]$ lower = [x for x in $l[1:]$ if $x < \text{pivot}$]upper = [y for y in $l[1:]$ if $y \geq \text{pivot}$]

```
def quicksort(l):
```

```
    if len(l) <= 1:
```

```
        return l
```

```
    pivot = l[0]
```

```
    lower = [x for x in l[1:] if x < pivot]
```

```
    upper = [y for y in l[1:] if y >= pivot]
```

```
    return (quicksort(lower) + [pivot] + quicksort(upper))
```

Extra space

Merge $A, B \rightarrow C$

Quicksort \rightarrow lower, upper

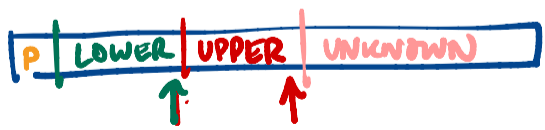
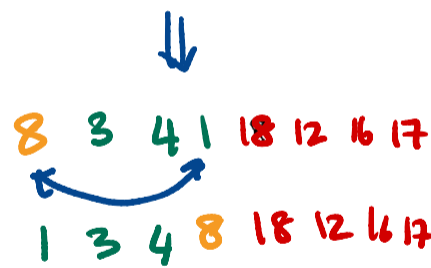
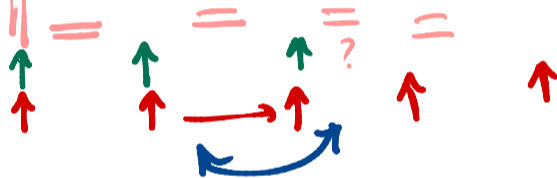
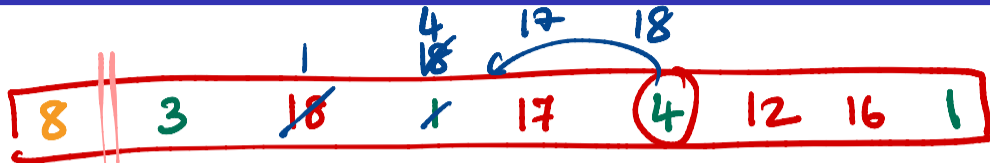
Partitioning



$X > P$

$X < P$

Partitioning



Quicksort code

```
def quicksort(L,l,r): # Sort L[l:r]
    if (r - l <= 1):
        return(L)
    (pivot,lower,upper) = (L[l],l+1,l+1)
    for i in range(l+1,r):
        if L[i] > pivot: # Extend upper segment
            upper = upper+1
        else: # Exchange L[i] with start of upper segment
            (L[i], L[lower]) = (L[lower], L[i])
            # Shift both segments
            (lower,upper) = (lower+1,upper+1)
    # Move pivot between lower and upper
    (L[l],L[lower-1]) = (L[lower-1],L[l])
    lower = lower-1
    # Recursive calls
    quicksort(L,l,lower)
    quicksort(L,lower+1,upper)
    return(L)
```

$l \dots r$ $L[l]$ is pivot

Partitioning

$$T(n) = ?$$

$$T(\text{lower}) + T(\text{upper}) + n$$

If pivot is smallest
or largest

?

0

$n-1$

?

$n-1$

0

$$T(n) = T(n-1) + n$$

Same as insertion sort

$$= 1 + \dots + n-1 + n = O(n^2)$$

Average case

Sorting Only order matters

Input of size n - permutation of $[1 \dots n]$

Expected time of quicksort is $O(n \log n)$

For any fixed strategy to choose pivot

- Position largest/smallest value there
- Produce worst case n^2 input

Pick $i \in [0..n-1]$ uniformly at random

Use $l[i]$ as pivot \rightarrow Swap $l[i]$ & $l[0]$
proceed as before

First sort by roll number
then sort by marks

Equal marks \rightarrow sorted by roll number

Selection sort

2 2 1

□ → ✓

1 2 2

Merge

$A[i] \leq B[i]$
is stable

$A[i] < B[i]$
is not

Theoretical lower bound of $O(n \log n)$

Assuming only compare & swap